#### PATENT APPLICATION



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

D. J. Biswas, J. P. Nilaya and A. Kumar

Appln. No.: 10/612,848

Filed: July 3, 2003

For: A PULSER DRIVEN, HELIUM FREE TRANSVERSELY EXCITED

ATMOSPHERIC-PRESSURE (TEA) CO2 LASER

Attorney Docket No.: 3875.023

# PETITION UNDER 37 C.F.R. §1.53(e)

### Mail Stop Petitions

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

With respect to the Notice of Incomplete Nonprovisional Application, mailed in the above-captioned application on October 2, 2003, Applicant hereby petitions the Commissioner under 37 C.F.R. §1.53(e). Applicant respectfully asserts that the pages at issue (six pages of drawings) were submitted with the application as filed on July 3, 2003. Therefore, Applicant petitions the Commissioner for a finding that the application is entitled to its original filing date of July 3, 2003.

The required petition fee under 37 C.F.R. §1.17(h) is enclosed herewith. Applicant requests that, contingent upon the granting of the present petition, the enclosed fee be refunded to deposit account number 16-0877 pursuant to 37 C.F.R. §1..

Attorney Docket: 3875.023

In support of this Petition, the following are appended:

APPENDIX A: A copy of the return postcard that was submitted with the above-captioned application, which was received back from the USPTO, and upon which the USPTO acknowledges receipt of said six drawing pages;

APPENDIX B: A copy of an email dated June 11, 2003, providing the undersigned attorney of record with an electronic copy of the specification from which the above-captioned patent application was developed for filing with the USPTO;

APPENDIX C: A copy of an email dated June 11, 2003, sent by the undersigned attorney of record to acknowledge receipt of the email of Appendix B and to request at least one figure for filing with the subject application; and

APPENDIX D: A copy of an email dated June 13, 2003, sent by the undersigned attorney of record to acknowledge receipt via email of an electronic copy of six pages of drawings for filing with the above-captioned Patent Application.

The facts related to the filing of the above-captioned application that are known to the undersigned are set forth below.

Attorney Docket: 3875.023

I, the undersigned Attorney of record, state that the Applicant in the above-captioned application provided me, via email, with a draft specification of the above-captioned application on June 11, 2003. A copy of this email is appended as APPENDIX B.

I further state that, after reviewing the materials provided by the Applicant, I sent an email to the Applicant on June 11, 2003, acknowledging receipt of said draft specification and requesting the Applicant to provide at least one figure for filing with the application. A copy of this email is appended as APPENDIX C.

On June 13, 2003, the Applicant provided me, via email, with six pages of drawings for incorporation into the above-captioned application. A copy of this email is appended as APPENDIX D.

Between June 13 and July 3, 2003, I prepared the above-captioned application, which included the above-mentioned six pages of drawings provided to me, for filing with the U.S. Patent and Trademark Office.

On July 2, 2003, I provided the completed application, consisting of thirteen pages of specification, two pages of claims, six pages of drawings, and one page of abstract, together with a transmittal letter, a check, and an executed Inventor's Declaration, to my secretary for filing with the U.S. Patent and Trademark Office.

Attorney Docket: 3875.023

On July 3, 2003, the completed papers, including the six pages of drawings, were placed in an Express Mail envelope bearing the serial number EV330254863US, together with a postage-paid return postcard (APPENDIX A) listing the contents of the envelope including the six pages of drawings, and the envelope was sealed. The envelope was addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, and was deposited with the U.S. Postal Service on July 3, 2003.

I received the above-mentioned return postcard (APPENDIX A) from the U.S. Patent and Trademark Office on or before July 28, 2003. I forwarded two copies of the postcard to the Applicant by facsimile on July 28, 2003.

The postage-paid postcard (APPENDIX A) bears a U.S. Patent and Trademark Office mailroom stamp acknowledging receipt of an application for patent comprising thirteen pages of specification, two pages of claims, six pages of drawings, executed Inventor's Declaration, and a check. The mailroom stamp assigns to the application the temporary serial number 10/612,848 and a filing date of July 3, 2003. The postcard contains no notations indicating that any of the listed parts of the application were not received.

A copy of the postcard as received is submitted herewith as APPENDIX A.

Attorney Docket: 3875.023

In view of the above, Applicant respectfully asserts that the six pages of drawings at issue were received from the Applicant prior to the date of filing of the above-captioned application; were duly incorporated into the above-captioned application; were submitted to the U.S. Patent and Trademark Office on July 3, 2003; that the six pages of drawings were acknowledged to have been received by the U.S. Patent and Trademark Office.

Applicant therefore petitions the Commissioner under the provisions of 37 C.F.R. §1.53(e) for a finding that the above-captioned application is entitled to its original filing date of July 3, 2003.

Respectfully submitted,

Christopher J. Kay Registration No. 44,820

PENDORF & CUTLIFF 5111 Memorial Hwy Tampa, Florida 33634-7356 (813) 886-6085

Date: October 14, 2003

Attorney Docket: 3875.023

### CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that the foregoing **PETITION UNDER** 37 C.F.R. §1.53(e) for U.S. Application No. 10/612,848, filed July 3, 2003, check, Appendices A-D, and return postcard, were deposited in first class U.S. mail, postage prepaid, addressed: Mail Stop Missing Parts<sup>1</sup>, Commissioner of Patents and Trademarks, P.O. Box 1450 Alexandria VA 22313-1450, on October 14, 2003.

The Commissioner is hereby authorized to charge any additional fees that may be required at any time during the prosecution of this application, except for the issue fee, without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.

Bonnie L. Horst

<sup>&</sup>lt;sup>1</sup> The petition is addressed on its face to "Mail Stop Petitions", but is submitted in the first instance to "Mail Stop Missing Parts" in accord with the instructions provided on the Notice of Incomplete Nonprovisional Application.



Atty Do	cket No.: 3875. 023 Serial No.: Not o	IET AU	SIENED Filing Date: JULY 3, 2003
Applicant: DHRUBA J. BISWAS et al.			
•	4 0	- p	PRESSURE (TEA) CO2 LASER.
Title/Mark: A PULSER DRIVEN, HELLUM FREE TRANSVERSELY EXCITED ATMOSPHERIC			
	THE MAIL ROOM STAMP BELOW ACK		<del>-</del>
	DOCUMENTS ON THE DATE IND	CATED	D ON THE MAIL ROOM STAMP.
×	Appl'n for Patent/PCT with:	0	Appl'n for TMSM with Drawing and
-	13 pg of specification		specimens of the mark
	2 pg of Claims		Appl'n for Copyright Registration and
•	6 pg of Drawings Informal/Formal		deposits.
	pg of Abstract		Request for month Extension of Time
	Power of Attorney		Base Issue Fee Forms
×	Inventor's Declaration		Status Inquiry
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			14749 U.S. PTO
			10/012040
0	Information Disclosure Statement		
	Amendment		171/11/11/11/11/11/11/11/11/11/11/11/11/
	Assignment		
0	Letter to Draftsman	A	Certificate of Mailing Date of 7-3-05
	pg of Informal Drawings	1	Express Majl No.: EV 33025 4863. US
	pg of Formal Drawings		Check Nu.: 2006 \$ 750.00

1 6 2003

## kay@pstentcentral.com

From:

S. Majumdar & Co. [patents@vsnl.com]

Sent:

Wednesday, June 11, 2003 7:03 AM

To:

Chris Kay

Subject: Proposed Patent Application for filing in USA - BARC application

Christopher J. Kay Esqr PENDORF & CUTLIFF 3940 Venetian Way Tampa, Florida 33634 USA

Via E-mail June 11, 2003

Dear Mr. Kay

Re: Proposed Patent Application for filing in USA - BARC application

Title: A pulser driven, helium-free transversely excited atmospheric-pressure (TEA) CO<sub>2</sub> laser

Our Ref: FPAA/229 /SN/Y/180603

We are please to entrust you with the filing of the aforesaid Patent Application in USA.

Please note that it is a direct US filing with no priority claim. We attach herewith data sheet providing the name and residential addresses of the inventors and the applicant to whom the invention will be transferred after filing. We also attach the draft complete specification.

You are requested to file the above application as early as possible. If any further information is required for the purpose please feel free to revert.

Kindly acknowledge the receipt of this E-mail.

Yours faithfully,

SIDDHARTHA NAG

of S. MAJUMDAR & Co.

attach: data sheet and draft complete specification

NDER 37 C.F.R. \$1.53(e), 600. 10/612,848

patentcen

From:

Chris Kay [kay@patentcentral.com]

Sent:

Wednesday, June 11, 2003 9:31 AM

To:

'S. Majumdar & Co.'

Subject: RE: Proposed Patent Application for filing in USA - BARC application

Dear Dr. Nag:

This is to acknowledge receipt of your email, as requested. We will shortly forward to you via email a Declaration and Power of Attorney form and an Assignment form for execution by the inventors. Although it is not required that these forms be filed with the application, it does reduce filing fees if the Declaration is filed. Therefore, please fax the executed forms to us at your earliest convenience. Our fax number in the USA is 813-886-6720.

Under US practice, the Examiner will usually require at least one figure where the invention is capable of illustration. Therefore, to avoid such a later requirement, please provide us with at least one figure if possible.

Thank you for entrusting the filing of the application to us. If you have any questions or concerns, please let us know.

Sincerely,

Christopher J. Kay, Ph.D. Patent Agent Pendorf & Cutliff 5111 Memorial Highway Tampa, Florida 33634-7356

tel.: (813)886-6085 fax: (813)886-6720

email: kay@patentcentral.com

web: www.patentcentral.com ----Original Message-----

From: S. Majumdar & Co. [mailto:patents@vsnl.com]

Sent: Wednesday, June 11, 2003 7:03 AM

To: Chris Kay

Subject: Proposed Patent Application for filing in USA - BARC application

Christopher J. Kay Esqr PENDORF & CUTLIFF 3940 Venetian Way Tampa, Florida 33634 **USA** 

Via E-mail June 11, 2003

Dear Mr. Kay

Re: Proposed Patent Application for filing in USA - BARC application Title: A pulser driven, helium-free transversely excited atmospheric-pressure (TEA) CO2 laser Our Ref: FPAA/229 /SN/Y/180603

- 7 1- 7 1- 7 1- 7

We have noted that the first names of the inventors are required. The first names will be incorporated by the inventors while executed the documents.

Yours faithfully,

SIDDHARTHA NAG

kay@katentcentral/com

OCT 1 6 2003

From: Chris Kay [kay@patentcentral.com]

Sent: \*Friday, June 13, 2003 9:55 AM

To: 'S. Majumdar & Co.'

Subject: RE: Proposed Patent Application for filing in USA - BARC application

Dear Dr. Nag:

Thank you for the figures. We will await your facsimile of the executed formal documents and then file the application as soon as possible thereafter.

Sincerely,

Christopher J. Kay, Ph.D. Patent Agent Pendorf & Cutliff 5111 Memorial Highway Tampa, Florida 33634-7356

tel.: (813)886-6085 fax: (813)886-6720

email: kay@patentcentral.com

web: www.patentcentral.com

----Original Message----

From: S. Majumdar & Co. [mailto:patents@vsnl.com]

Sent: Friday, June 13, 2003 2:50 AM

To: Chris Kay

Subject: Proposed Patent Application for filing in USA - BARC application

Christopher J. Kay Esqr PENDORF & CUTLIFF 3940 Venetian Way Tampa, Florida 33634 USA

Via E-mail June 13, 2003

Dear Mr. Kay

Re: Proposed Patent Application for filing in USA - BARC application

Title: A pulser driven, helium-free transversely excited atmospheric-pressure (TEA) CO<sub>2</sub>

laser

Our Ref: FPAA/229 /SN/Y/180603

Thank you for your mails of June 11, 2003 along with the Assignment and Power of Attorney-Declaration forms.

We shall fax you the duly executed formal documents as soon as possible. Please file the application only after receiving faxed executed formal documents to avoid additional government fees. Meanwhile please find attached figures 1 to 6.

We are please to entrust you with the filing of the aforesaid Patent Application in USA.

Please note that it is a direct US filing with no priority claim. We attach herewith data sheet providing the name and residential addresses of the inventors and the applicant to whom the invention will be transferred after filing. We also attach the draft complete specification.

You are requested to file the above application as early as possible. If any further information is required for the purpose please feel free to revert.

Kindly acknowledge the receipt of this E-mail.

Yours faithfully,

SIDDHARTHA NAG of S. MAJUMDAR & Co.

attach: data sheet and draft complete specification